

Disclosure of Transfers of Value Made in 2021

Methodological Statement

Alimera Sciences specialises in the research, development and commercialisation of prescription ophthalmic pharmaceuticals, and is dedicated to developing innovative, vision-improving treatments for diseases of the retina.

Our team is committed to the development of therapies for eye conditions that will affect millions of people in our aging population, many of which lead to irreversible damage when left untreated.

Alimera Sciences is committed to working collaboratively with Healthcare Professionals (HCPs), Other Relevant Decision Makers (ORDMs) and Healthcare Organisations (HCOs) for the benefit of patients. These interactions are essential for our research and development activities, including our clinical trial programme. Alimera Sciences makes payments to HCPs, ORDMs and HCO's directly and through clinical research organisation, agencies and third parties. These payments are made primarily in connection with research and development work and we also reimburse, where appropriate, HCPs and ORDMs for attending meetings and conferences that contribute to their continued professional development.

Alimera Sciences policy is that our relationships with HCPs, ORDMs and HCOs are transparent and that we disclose all Transfers of Value to these individuals/organisations in accordance with the Code of Practice of the Association of the British Pharmaceutical Industry (ABPI Code).

Alimera Sciences will make Transfer or Value Disclosure for HCPs, ORDMs and HCOs on the APBI Disclosure template /Portal in line with the requirements of the APBI Code 2021.

The listed individuals and healthcare organisations will be given the opportunity to check the entries against their name via an email sent to them by the ABPI database partner following submission of the draft data in the ABPI template by the company. Alimera Sciences Ltd will respond to queries raised and submit updates as needed on resolution of the query.

HCPs and ORDMs have the right to opt out of individual disclosure.

In accordance with the UK Data Protection Act 1998 and the EU Data Protection Directive 95/46/EC the Company shall obtain permission from individuals prior to disclosing personal data such as individual transfers of value. The Company has made every effort to secure the necessary permissions.

Disclosure Methodology:

1. Gaining agreement from Healthcare Professionals (HCPs), Other Relevant Decision Makers (ORDM) disclosure of Transfer of Value (ToV) payments

- All HCPs and ORDMS are sent a Disclosure Consent form to sign on the company's first interaction with them involving a ToV.
- Agreement to individual disclosure is assumed for any further interactions unless the HCP/ORDM withdraws that agreement in writing.
- Alimera Sciences does not allow for any "partial disclosure": HCPs and ORDMs can only consent to all not some of their ToVs being disclosed by Alimera Sciences to the ABPI on an individually identifiable basis. If the HCP or ORDM does not agree to individual disclosure amounts will be disclosed in an aggregated category.
- Health Care Organisations (HCOs) are informed in their contract that the company will disclose all ToVs to them.
- All contracts and agreements are reviewed and approved so they are compliant with the APBI 2021 Code and are then recorded on Alimera approval systems prior to any payments.

2. Recording of all ToV payments

- All company payments which include potential ToV payments to HCPs, ORDMS or HCOs require a contract /agreement and are pre- approved in terms of the reason for, category and value of those payments. This is part of the internal approval process when a meeting or project is being organised.
- Payments are paid per event or activity
- All invoices related to such ToV payments are coded by the project administrator before being submitted to the Finance Department for processing, approval and payment.

3. Collation of ToV payments

- All 2021 TOV spends are collated manually using a centralised spreadsheet.
- All payments made in a year to one HCP/ORDM for multiple events or activities.
- Within the same category are collated as one figure under that ToV category. E.g. If an HCP/ORDM has attended 2 meetings and has 2 registration fees paid this will appear as one figure under the registration fee category

- At the appropriate time a report is produced summarising the ToV spends by category associated with each individual HCP/ORDM. This is in the format of the ABPI disclosure template.
- A data quality check will be made at the end of this process. To aid this process, all HCPs/ORDMs who have committed to disclose will be sent a courtesy letter informing them of their individual ToV payments which will be submitted. They will be able to contact the company before submission if they have any queries or concerns.

4. Submission of Data

- The data is submitted electronically to the ABPI for uploading onto the central database.

5. Disclosure

- ToV disclosure is made on the ABPI disclosure template in line with the requirements of the ABPI Code 2021.
- Individual disclosure is listed under ToV category and by HCP/ORDM name.
- Note that ToVs paid to HCPs/ORDMs for contracted services are categorised separately from delegate attendance at meetings.
- When an HCP/ORDM has declined individual disclosure amounts are listed in the aggregated column.
- HCO disclosure is listed by their business/practice name and ToV category.
 - Research and Development TOVs (e.g. clinical trials) and subsidiary activities to these (e.g. investigator meetings) are disclosed in an aggregated category.

6. Other considerations

- Payments are presented in GBP
- Transfer of value is accounted for in the year it is paid, rather than the year the event/activity happened if they are different
- Contracted Services: HCPs/ORDMs are paid per event when they have provided services to the company. The disclosure amount listed against an HCP's/ORDM's name is for all contracted services provided during 2021 and may relate to more than one event.
- ToV paid by the company is presented exclusive of VAT or other taxes
- Multi-year contracts have not been employed during 2021

- In the situation where an HCP/ORDM is an employee of Alimera Sciences Ltd and still practises their profession part-time in an NHS setting only transfers of value made related to their NHS role will be declared.
- Private Companies: Where an HCP/ ORDM runs a private company (where he/she is the only HCP/ORDM employee of that company), Alimera Sciences discloses the transfer of value to the individual HCP/ORDM subject to consent being in place.
- Cross- border payments have not been made to UK HCPs, ORDMs or HCOs during the calendar year 2021 i.e. UK HCPs/ORDMs are paid only from the UK office.
- When payments are made for invoices arising from outside the UK the currency conversion rate to GBP used is the currency current at time of payment (e.g. payment for hotel accommodation at conferences outside the UK).
- Alimera Sciences Ltd made no non-monetary transfers of value during 2021.
- No medical device transfers of value are included as they are not applicable to the Alimera Sciences Ltd.
- No over-the counter transfers of value are included as they are not applicable to the Alimera Sciences Ltd.
- No transfers of value have taken place which involve joint working or collaboration with other pharmaceutical companies or healthcare related organisations.

7. Definitions

Collaborative Working: refers to a pharmaceutical company/ies working with other organisations to deliver initiatives which either enhance patient care or are for the benefit of patients or alternatively benefit the National Health Service (NHS) and, as a minimum, maintain patient care. *ABPI Code 2021*

Contracted services: Health professionals, other relevant decision makers or their employers on their behalf, healthcare organisations, patient organisations, individuals representing patient organisations, and members of the public including patients and journalists may be used as consultants and advisors, whether in groups or individually, for services such as speaking at and chairing meetings, involvement in medical/scientific studies, clinical trials or training services, writing articles and/or publications, participation at advisory board meetings, and participation in market research where such participation may involve remuneration and/or hospitality. *ABPI Code 2021*

They are paid “fair market value” which is an amount that reflects the complexity of the task and time taken as well as the expertise and status or position of the contracted individual/organisation.

Donations and grants: collectively, mean providing funds, benefits in-kind or services freely given for the purpose of supporting healthcare, scientific research or education, with no consequent obligation on the recipient organisation, institution and the like to provide goods or services to the benefit of the pharmaceutical company in return. Donations and grants to individuals are prohibited.

In general donations are physical items, services or benefits in-kind which may be offered or requested. Grants are the provision of funds. *ABPI Code 2021*

Healthcare professional (HCP)/health professional: Any members of the medical, dental, pharmacy and nursing profession and any other person who in the course of their professional activities may administer, prescribe, purchase, recommend or supply a medicine and whose primary practice, principal professional address or place of incorporation is in the United Kingdom. *ABPI Code 2021*

Where HCPs are employed directly and exclusively by the Company their salary and benefits are outside the scope of the Company's disclosure.

Other relevant decision makers (ORDM): Someone in an NHS role who could influence in any way the administration, consumption, prescription, purchase, recommendation, sale, supply or use of any medicine but who is a not health professional. *ABPI Code 2021*

Healthcare organisation (HCO): Either a healthcare, medical or scientific association or organisation such as a hospital, clinic, foundation, university or other teaching institution or learned society whose business address, place of incorporation or primary place of operation is in Europe or an organisation through which one or more health professionals or other relevant decision makers provide services. *ABPI Code 2021*

MEGS (Medical and Educational Goods and Services): Goods or services provided by the Company to the NHS for which the NHS would normally pay, and which:

- a) benefit patient care or
- b) benefit the NHS whilst maintaining patient care, or support research

MEGS may take the form of (1) provision of goods or services either in the form of a benefit in kind or by provision of a grant. *ABPI Code 2019*

Examples may include:

- Funding for an HCP who is independent from the company to run a therapy review to identify where potential improvements can be made to a patient's treatment by their own doctor
- Payment for of an item of equipment for use in a clinic

Sponsorship: provision of a contribution, financial or otherwise, in whole or in part provided by or on behalf of a company, towards an activity (including an event/meeting or material) performed, organised, created etc. by a healthcare organisation, patient organisation or other independent organisation. *ABPI code 2021*

Examples of such activities include sponsorship of satellite symposia at congresses, support for a speaker, rental of stand space.

Support for attendance: provision of a financial contribution, in whole or in part, whether paid directly or indirectly to individual HCPs or ORDMs to attend medical/ scientific events/meetings. *ABPI Code 2021*

Examples include payment of registration fees, legitimate travel and accommodation expenses.

Research & Development payments

ToVs to healthcare professionals or healthcare organisations related to the planning or conduct of

- (i) non-clinical studies (as defined in OECD Principles on Good Laboratory Practice).
- (ii) clinical trials (as defined in Regulation 536/2014); or
- (iii) non-interventional studies that are prospective in nature and that involve the collection of patient data from or on behalf of individual, or groups of, healthcare professionals specifically for the study. *ABPI Code 2021*

R&D transfers of value will be disclosed in an aggregate form in line with ABPI Code of Practice requirements.